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#### (Å) 67.9 67.9 67.7 67.7 67.6 67.6 67.5 67.5 67.9 67.9 67.8 67.7 67.7 67.6 67.6 67.5 67.5 107.2 107.2 107.1 107.1 107.0 107.0 106.9 106.9 106.8

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# On the accuracy of unit-cell parameters in protein crystallography

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The availability in the Protein Data Bank (PDB) of a number of structures that are presented in space group P1 but in reality possess higher symmetry allowed the accuracy and precision of the unit-cell parameters of the crystals of macromolecules to be evaluated. In addition, diffraction images from crystals of several proteins, previously collected as part of in-house projects, were processed independently with three popular software packages. An analysis of the results, augmented by published serial crystallography data, suggests that the apparent precision of the presentation of unit-cell parameters in the PDB to three decimal points is not justified, since these parameters are subject to errors of not less than 0.2%. It was also noticed that processing data including full crystallographic symmetry does not lead to deterioration of the refinement parameters; thus, it is not beneficial to treat the crystals as belonging to space group P1 when higher symmetry can be seen.

### 1. Introduction

Unit-cell parameters are estimated in crystallographic diffraction experiments from diffraction angles according to Bragg's law:  $n\lambda = 2d\sin\theta$ . In four-circle diffractometry the angles  $\theta$  are measured directly and if the X-ray wavelength  $\lambda$  is known accurately, as is the case for sealed-tube or rotating-anode sources, with a well calibrated goniostat, the measurement of diffraction angles for several reflections spread over reciprocal space permits the estimation of the resolution of each reflection (or the corresponding interplanar spacing  $d_{hkl}$ ) and the crystal unit-cell parameters with high accuracy from the following geometric relations (Giacovazzo, 2011).

The more elaborate forms of Bragg's equation are as follows. In reciprocal space,

$$d_{hkl}^2 = \lambda/4 \sin^2 \theta$$

$$= 1/(h^2 a^{*2} + k^2 b^{*2} + l^2 c^{*2} + 2hka^* b^* \cos \gamma^* + 2hla^* c^* \cos \beta^* + 2klb^* c^* \cos \alpha^*), \qquad (1)$$

or in direct space,

$$d_{hkl}^{2} = \lambda/4 \sin^{2} \theta$$

$$= [h^{2} \sin^{2} \alpha/a^{2} + k^{2} \sin^{2} \beta/b^{2} + l^{2} \sin^{2} \gamma/c^{2}$$

$$+ 2kl(\cos \beta \cos \gamma - \cos \alpha)/bc + 2lh(\cos \alpha \cos \gamma - \cos \beta)/ac + 2hk(\cos \alpha \cos \beta - \cos \gamma)/ab]/$$

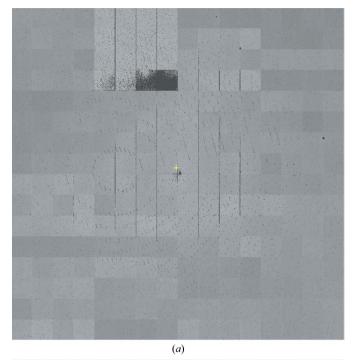
$$(1 - \cos^{2} \alpha - \cos^{2} \beta - \cos^{2} \gamma + 2 \cos \alpha \cos \beta \cos \gamma). \quad (2)$$

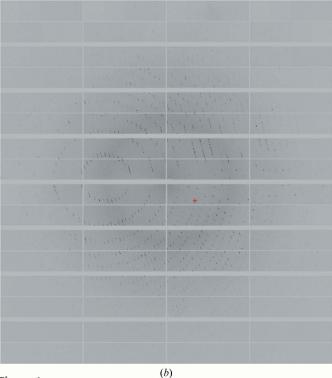
For higher symmetry crystals these relationships simplify according to the constraints on some unit-cell parameters.

If diffraction data are measured on two-dimensional detectors, as is currently routine not only in macromolecular

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crystallography but also in small-structure work, the estimation of unit-cell parameters is somewhat complicated and is potentially influenced by various systematic errors. Some unit-cell parameters may be poorly determined in a single diffraction image, since such an image represents only a narrow cross-section of reciprocal space. The crystal-to-detector distance is usually not known with high accuracy and





A raw diffraction image collected on a pixel detector (a) and the same image after application of the calibration and geometric corrections (b). These figures were obtained courtesy of Dr K. Rajashankar.

the value of the X-ray wavelength may also not be set very accurately. Precise calibration of these parameters requires special attention, which is rarely followed in routine experiments at synchrotron stations, where the detector distance, X-ray wavelength and other beam parameters are frequently changed from one experiment to another. An additional factor contributing to the uncertainty is owing to the geometric calibration of a particular detector, as represented in its correction tables. The necessity of such geometric correction is evident from a visual comparison of a 'raw' diffraction image and a corrected diffraction image (Fig. 1). Many geometric parameters of the experimental system are refined during data processing, such as, for example, the detector 'tilt' and 'twist' that describe its deviation from perpendicularity to the beam. It is, however, always assumed that the spindle axis is precisely perpendicular to the beam and this feature is not a refinable parameter. If this condition is not strictly preserved, the unitcell parameters of the crystal and its orientation angles estimated during data processing change somewhat from image to image while the crystal is rotated.

In addition, certain systematic errors and uncertainties may be introduced by the crystals themselves. Some macromolecular crystals are not perfectly uniform in terms of their lattices and diffraction properties within their whole volume. If the X-ray beam cross-section is smaller than the crystal size, the diffraction data may come from different nonisomorphous parts of the specimen while the crystal rotates during data collection. Protein crystals irradiated by strong synchrotron X-radiation are influenced by radiation damage, causing not only local structural and chemical changes in the investigated samples but also degradation of diffracted intensities, especially at high resolution. These effects lead to changes in the crystal mosaicity and unit-cell parameters (Ravelli & McSweeney, 2000). If diffraction data are measured and merged from several crystals, as is practiced in the 'serial crystallography' approach (Gati et al., 2014), the individual specimens may be non-isomorphous to some extent, having somewhat different unit-cell parameters. All of these effects diminish the accuracy of the finally obtained unit-cell parameters, which then represent a set of 'averaged' values.

The optimal values of the unit-cell and certain other parameters (for example, crystal mosaicity etc.) in data collection by the rotation method with two-dimensional detectors are obtained during data merging and scaling by the so-called post-refinement procedure. This involves the global optimization of many parameters, some refined as constant for all diffraction images (mainly unit-cell parameters) and others estimated individually for each image or batch of a few images (crystal orientation, mosaicity, crystal-to-detector distance). Details of post-refinement algorithms and their execution differ in different data-processing programs, and thus the resulting unit-cell parameters also differ to some extent. In addition, processing the same diffraction images in different Laue groups (but the same lattice), applying the appropriate symmetry constraints, results in somewhat different unit-cell parameters.

**Table 1**Selected structures presented in the PDB in *P*1 symmetry that in reality possess higher symmetry.

The unit-cell parameters are given in the original P1 space group, in the equivalent, nonstandard centered lattice and in the correct, higher symmetry space group. The data resolution and the  $R_{\rm merge}$  values as quoted in the PDB and resulting from merging the deposited data in higher symmetry are also given, as well as R and  $R_{\rm free}$  quoted in P1 and resulting from re-refinement in higher symmetry. Maximum deviations of the cell lengths (as an absolute number and as a percentage) and angles between the P1 values and those calculated utilizing the higher symmetry lattice restrictions are given in the last three columns

Space group	a (Å)	b (Å)	c (Å)	α (°)	β (°)	γ (°)	Resolution (Å)	$R_{ m merge}$ (%)	<i>R</i> / <i>R</i> <sub>free</sub> (%)	δ(a) (Å)	δ(a)/a (%)	δ(α) (°)
1f1ø (F	Hart <i>et al</i> .	1999)										
P1		72.480	72.470	109.20	109.55	109.21	1.35	8.8	17.1/n/a			
R1		118.185				119.86				0.030	0.04	0.35
	118.169					120.00		10.1	17.4/18.9			
2h6l (N P1	Northeast 47.673						blished worl		10 7/22 7			
<i>R</i> 1	55.217		106.342			119.94	2.00	n/a	18.7/23.7	0.049	0.10	0.08
R3			106.342			120.00		5.3	19.2/28.1	0.047	0.10	0.00
							e, unpublish					
P1		53.408	53.419	108.56	108.57	108.48	2.00	3.7	21.8/5.6			
R1	86.699					119.97				0.026	0.05	0.09
R3	86.715					120.00		7.7	20.1/27.0			
3kse (N	M. Renko			ıblıshed 118.07		00.04	1.71	4.0	15 2/20 4			
<i>R</i> 1		83.948 143.932				98.04 119.97	1./1	4.0	15.3/20.4	0.042	0.05	0.00
R3		143.921				120.00		4.0	16.6/21.8	0.042	0.05	0.00
							shed work)					
P1		64.550			107.19			6.1	18.9/20.9			
R1		107.125				119.77				0.047	0.07	0.06
R3		106.858		90.00	90.00	120.00		6.7	15.6/19.8			
	Hink-Sch			445.40	100.05	100.10	2.50		21 0/20 1			
P1		94.550			100.25		2.50	4.5	21.8/28.4	0.320	0.24	0.12
R1 R3		161.394 161.153				119.81 120.00		5.4	17.2/28.0	0.320	0.34	0.13
	Bartonova			90.00	90.00	120.00		3.4	17.2/20.0			
P1		51.321		109.20	109.63	109.55	2.40	2.5	22.3/27.0			
<i>I</i> 1	59.188					89.95				0.037	0.07	0.08
<i>I</i> 41		59.208	59.478	90.00	90.00	90.00		2.6	22.1/n/a			
	Zeng et al											
P1	83.046				111.59		2.61	10.3	21.9/25.9			
<i>I</i> 1	97.041	97.171				89.92		0.2	10.2/26.0	0.161	0.19	0.03
I41	97.106 Center fo						seases, unpu	9.2	19.2/26.0			
4111J111 (	84.328				109.22			5.2	20.9/23.2			
<i>I</i> 1	97.665	97.667	96.671			89.97	2.20	0.2	2017/2012	0.064	0.08	0.03
<i>I</i> 4	97.666	97.666	96.671	90.00	90.00	90.00		6.2	14.7/21.2			
20qy (1	Rakus et	al. (2009)	)									
P1			104.462				2.00	6.7	21.5/22.9			
<i>I</i> 1			120.682			90.04				0.005	0.01	0.05
<i>I</i> 4			120.682	90.00	90.00	90.00		4.9	18.2/24.8			
9es8 (F	Rakus <i>et a</i>		105.718	100 31	100.47	100.66	2.20	7.9	22.4/24.1			
<i>I</i> 1			122.302			89.92	2.20	1.5	22.4/24.1	0.109	0.10	0.16
<i>I</i> 4			122.302			90.00		8.9	18.9/27.1	0.10)	0.10	0.10
2r8e (E	Biswas et	al., 2009)	1									
P1			85.864	118.84	118.77	90.06	1.40	3.5	16.0/18.8			
<i>I</i> 1			125.616		89.94	90.06				0.128	0.15	0.07
<i>I</i> 4			125.616	90.00	90.00	90.00		4.0	15.1/18.9			
	Aravind e			05.01	74.01	74.22	1.06	2.7	17 0/01 0			
P1 <i>I</i> 1	29.300 73.782				74.31 90.00	74.32 89.97		3.7	17.2/21.2	0.023	0.04	0.01
I4		73.783		90.00		90.00		3.0	13.7/19.2	0.023	0.04	0.01
	Motoshim			20.00	20.00	20.00		5.0	13.7/17.2			
P1	72.861		81.282	70.56	63.17	63.38	1.70	3.7	21.0/23.6			
I1	72.861		110.583	89.93		90.23				0.252	0.31	0.21
<i>I</i> 222	72.804	93.804	110.583	90.00		90.00		4.5	18.9/21.4			
	Song et al.											
P1	66.343				106.66		2.30	4.4	20.6/24.8			
<i>I</i> 1	71.043	79.329	79.681			90.04		<i>5</i> 0	16 6/22 5	0.137	0.21	0.29
	71.186	79.186	79.681	90.00	90.00	90.00		5.8	16.6/23.5			

Comparison of the values of unit-cell parameters obtained by processing the same images in various symmetries and by different programs gives a chance to estimate the lower limit of the uncertainties of these parameters. We have selected a group of structures from the Protein Data Bank (PDB; Berman et al., 2000) presented in space group P1 but in reality possessing higher symmetry, and compared the obtained unit-cell parameters with the results of merging the deposited data in higher symmetry. In addition, we have reprocessed several of our own sets of diffraction images with three popular datareduction programs. Although the true symmetry of these crystals was higher, we assumed space group P1. The results obtained with HKL-2000 (Otwinowski & Minor, 1997), XDS (Kabsch, 2010) and MOSFLM (Leslie, 2006) were compared in order to evaluate the true precision (i.e. how many decimal digits are meaningful) and accuracy (i.e. the deviation of the estimated values from the true values) that is practically possible to obtain. Finally, we analyzed the variations of unit-cell parameters reported in a typical serial data-collection experiment (Axford et al., 2015).

### 2. Materials and methods

The PDB was searched for structures with P1 symmetry having two unit-cell lengths differing by less than 1.0 Å and the two corresponding angles similar to within 1.0°. Among 126 such structures identified in April 2015, 111 were accompanied by diffraction data. The true symmetry for the latter data sets was evaluated with POINTLESS (Evans, 2011) and XPREP (Sheldrick, 2008). Those data sets in which the possible presence of higher symmetry was indicated were merged in the appropriate space group and submitted to molecular-replacement structure solution by MOLREP (Vagin & Teplyakov, 2010), followed by ten cycles of refinement by REFMAC (Murshudov et al., 2011), accompanied by automatic incorporation of water molecules with ARP/wARP (Perrakis et

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Table 1 (continued)

Space group	a (Å)	b (Å)	c (Å)	α (°)	β (°)	γ (°)	Resolution (Å)	$R_{ m merge}$ (%)	$R/R_{\rm free}$ (%)	δ(a) (Å)	δ(a)/a (%)	δ(α) (°)
3ebn (Z	Zhong et a											
P1	51.395	51.350			112.00		2.40	5.1	20.8/24.3	0.045	0.00	0.22
I1 I222	57.288 57.383	57.477 57.383		90.01 90.00	90.08 90.00	90.02 90.00		4.6	18.6/26.0	0.045	0.09	0.22
	yer <i>et al</i> .		03.001	90.00	90.00	90.00		4.0	10.0/20.0			
P1	54.280	53.480	54.100	60.36	60.75	60.57	1.50	10.0	20.0/27.3			
C1	94.042	53.480			125.32	90.02		2.2	22.0/27.6	0.180	0.33	0.21
C2 4bm1 (1	94.042 Fernánde	53.480	54.803 et al., 20		125.32	90.00		3.2	22.9/27.6			
P1	39.990	75.370			75.69	75.82	1.10	5.0	13.1/14.7			
C1	123.868	86.323			107.46	89.81				0.240	0.32	0.13
C2		86.323			107.46	90.00		5.6	14.3/15.8			
2q3c (N P1	45.950	45.948			72.98	82.07	Genomics, ur 1 49	9.8	18.8/21.0			
C1	69.319	60.333			112.86	90.00	11.7	7.0	10.0/21.0	0.002	0.01	0.04
C2	69.319	60.333		90.00	112.86	90.00		5.7	18.8/22.1			
,	obori <i>et a</i>		/	75.70	60.71	61 17	1.70	2.2	10 0/20 6			
P1 C1	51.560 92.152	52.860 51.560		75.79 90.31	60.71 134.50	61.17 89.92	1.70	3.3	18.9/20.6	0.030	0.06	0.54
C2	92.152	51.560			134.50	90.00		5.4	17.2/18.7	0.000	0.00	0.0 .
	Rak <i>et al</i> .,	,										
P1 C1	57.058 88.914	57.071 71.552	74.310 74.310	71.73	71.69 113.75	77.65 89.99	1.70	4.1	19.8/22.4	0.013	0.02	0.04
C2	88.914	71.552			113.75	90.00		3.5	18.8/21.7	0.013	0.02	0.04
							e, unpublish					
P1	49.890	56.397				64.35	1.75	3.8	18.8/19.1			
C1 C2	88.656 88.656	69.753 69.753			123.41	89.99 90.00		2.7	15.6/19.3	0.013	0.02	0.01
	00.030 Chen <i>et al</i>		49.090	90.00	123.41	90.00		2.7	13.0/19.3			
P1	30.177	41.325	41.292	80.05	68.63	68.52	1.75	4.9	20.8/23.7			
C1	61.062	55.603			119.52	90.00				0.033	0.08	0.11
C2	61.062	55.603	30.177	90.00	119.52	90.00		2.0	20.3/24.0			
P1	kgo <i>et al.</i> , 50.844	50.893	59.506	81.87	81.84	79.68	1.80	4.0	19.5/23.0			
C1	78.117	65.177			100.63	89.94				0.049	0.10	0.03
C2	78.117	65.177	59.506	90.00	100.63	90.00		5.3	19.3/24.4			
2ag5 (C	3uo <i>et al</i> ., 62.092	, 2006) 62.055	74 042	106.05	105.95	100 97	1.84	6.2	16.8/22.4			
C1	78.992	95.774			115.67	89.97	1.04	0.2	10.0/22.4	0.037	0.06	0.10
C2	78.992	95.774	74.042	90.00	115.67	90.00		5.4	19.9/24.6			
			tructural					0.6	10 2/22 5			
P1 C1	44.568 102.619	64.504 78.048		74.51	81.06 101.16	81.22 89.93	1.95	9.6	19.2/23.5	0.081	0.13	0.16
C2	102.619	78.048			101.16	90.00		6.9	188/23.2	0.001	0.15	0.10
2a1f (N		SGX R	esearch (				enomics, un	publishe	ed work)			
P1	77.369	79.889				96.88		6.5	21.3/26.3	0.010	0.01	0.20
C1 C2			77.369 77.369		100.05			8.6	19.8/25.4	0.010	0.01	0.20
	ao, Mech			,0.00	100.00	,0,00		0.0	1710/2011			
P1		52.260			104.63			5.2	24.3/25.6			
C1 C2	71.982	75.866 75.866			111.41 111.41	89.93 90.00		5.3	18.1/25.7	0.060	0.12	0.16
	iu et al.,		76.960	90.00	111.41	90.00		3.3	10.1/23.7			
P1	60.279	,	68.318	70.20	84.40	84.45	2.16	6.6	18.5/23.4			
C1	111.749					89.96				0.049	0.07	0.05
C2	111.749 ao, Asca			90.00	96.82	90.00		5.1	17.7/23.0			
P1	36.500		, ,	83.98	85.83	85.87	2.25	2.6	18.8/21.5			
<i>C</i> 1		79.219	36.500	89.97	95.59					0.004	0.01	0.04
C2		79.219		90.00	95.59	90.00		2.4	16.2/22.9			
3d6e ( <i>A</i>	Addingtor 39.551			61.38	85.72	86.10	2.40	4.3	21.3/25.5			
C1	94.355			90.38		89.88		4.3	41.3/43.3	0.097	0.18	0.38
C2		56.002		90.00		90.00		4.8	15.4/23.5			
	Giffin <i>et a</i>	. ,			<b>=</b> 0	<b>=</b> 0 -	2.66		22 - 17 -			
P1	74.107	80.321			78.97	78.94	2.60	6.0	23.1/26.5		0.02	0.02
C1 C2	130.608 130.608				103.63 103.63	89.99 90.00		2.6	20.1/28.7	0.013	0.02	0.03
				- 5.00		- 5.00						

al., 1997). The models were not revised manually. The 32 structures successfully solved and refined in symmetry higher than P1 are presented in Table 1. The table includes the unit-cell parameters from the original PDB deposition in P1 and after transformation by XPREP to the lattice corresponding to higher symmetry, with and without applying the appropriate constraints. Other parameters shown in Table 1 include the data resolution, the  $R_{\text{merge}}$  values as deposited in the PDB from processing in P1 and those from merging by XPREP in higher symmetry, as well as the R and  $R_{\text{free}}$  values quoted in the PDB after refinement in P1 and those obtained from refinement in higher symmetry. The maximum differences between those unit-cell lengths and angles that should be equal in higher symmetry space groups are also indicated.

Several large sets of images from protein crystals previously investigated in our laboratories (corresponding to at least 200° of total crystal rotation) were processed in the proper space group and in P1 symmetry with three dataprocessing and reduction programs: HKL-2000 (Otwinowski & Minor, 1997), XDS (Kabsch, 2010) and MOSFLM (Leslie, 2006). The resulting unit-cell parameters are presented in Table 2. The selected examples included crystals of two plant proteins, the transcription regulator MFT, several metal and ligand complexes of L-histidinol phosphate phosphatase (HPP; M. Ruszkowski, personal communication) and proteinase K (Wang et al., 2006), as well as the fluorescent proteins LSS (Pletnev et al., 2014) and RFP (S. Pletney, personal communication).

By default, *HKL*-2000 and *XDS* estimate unit-cell parameters common to batches of three (*HKL*-2000) or ten (*XDS*) consecutive images and proceed to integrate reflection intensities with these values within each batch. However, the number of images per batch can also be changed by the user. The unit-cell parameters are therefore allowed to vary among different batches and the final unit-cell parameters are estimated from the subsequent post-

Table 2 Examples of unit-cell parameters obtained from data processing with *HKL*-2000, *XDS* and *MOSFLM* in the proper symmetry, as well as symmetry reduced to *P*1.

For each data set the structure name, data resolution, total rotation range and detector type is given on the first line, followed by the unit-cell parameters refined in P1 and in higher symmetry by the three data-processing programs. Maximum deviations of the unit-cell lengths  $\delta(a)$  (absolute and relative) and angles  $\delta(\alpha)$  between data processing in the two symmetries are given in the last three columns.

Program	Space group	a (Å)	b (Å)	c (Å)	α (°)	β (°)	γ (°)	$\delta(a)$ (Å)	$\delta(a)/a$ (%)	$\delta(\alpha)$
	<u> </u>		b (A)	t (A)	α()	ρ()	γ()	(A)	( /0 )	()
MFT, 1.70 Å,										
HKL-2000	$P4_12_12$	61.553	61.553	106.990	90	90	90	0.024	0.04	0.0
HKL-2000	P1	61.577	61.565	106.990	90.007	89.991	89.932	0.024	0.04	0.0
XDS XDS	$P4_12_12$ P1	61.541	61.541 61.525	106.983 106.947	90 90.005	90 90.017	90 90.065	0.036	0.06	0.0
MOSFLM	$P_{1}$ $P_{1}$	61.514 61.51	61.523	106.947	90.003	90.017	90.063	0.036	0.06	0.0
MOSFLM	P1	61.44	61.58	106.97	90.02	89.93	90.00	0.07	0.11	0.0
HPP_INO, 1.5				100.77	70.02	67.73	70.00	0.07	0.11	0.0
HKL-2000	$P2_1$	61.933	89.337	92.326	90	96.985	90			
HKL-2000	P1	61.905	89.318	92.326	89.924	97.008	90.101	0.028	0.04	0.1
XDS	$P2_1$	61.931	89.364	92.365	90	97.005	90			
XDS	P1	61.908	89.331	92.334	90.090	97.002	89.920	0.033	0.04	0.0
MOSFLM	$P2_1$	61.85	89.11	92.20	90	97.00	90			
MOSFLM	P1	61.86	89.13	92.22	89.94	97.00	90.06	0.02	0.02	0.0
HPP_Ca_INO	, 1.40 Å, 2									
HKL-2000	$P2_1$	61.972	89.450	92.224	90	96.857	90			
HKL-2000	P1	61.968	89.430	92.208	90.156	96.863	90.041	0.020	0.02	0.1
XDS	$P2_1$	62.024	89.458	92.230	90	96.914	90			
XDS	P1	62.027	89.467	92.228	90.118	96.909	90.009	0.009	0.01	0.1
MOSFLM	$P2_1$	61.98	89.43	92.28	90 89.83	96.86	90 89.98	0.16	0.02	0.1
<i>MOSFLM</i> HPP_Mg_HO	P1	61.95	89.38	92.12	89.83	96.85	89.98	0.16	0.02	0.1
HKL-2000	$P2_1$	61.951	89.461	92.203	90	96.928	90			
HKL-2000	P1	61.950	89.441	92.203	90.062	96.904	89.905	0.020	0.02	0.0
XDS	$P2_1$	62.083	89.513	92.153	90	96.752	90	0.020	0.02	0.0
XDS	P1	62.092	89.528	92.163	90.025	96.750	90.009	0.015	0.02	0.0
MOSFLM	$P2_1$	61.94	89.26	92.08	90	96.90	90	0.010	0.02	0.0
MOSFLM	P1	61.94	89.44	92.21	90.11	96.93	89.85	0.18	0.02	0.1
HPP_Mg_HO	LP, 1.44 Å	, 250°, M	AR300HS							
HKL-2000	$P2_1$	61.883	88.858	92.175	90	96.910	90			
HKL-2000	P1	61.876	88.841	92.169	90.048	96.916	90.992	0.017	0.02	0.0
XDS	$P2_1$	61.864	88.796	92.140	90	96.918	90			
XDS	P1	61.859	88.784	92.128	90.045	96.917	90.004	0.012	0.01	0.0
MOSFLM	$P2_1$	61.88	88.83	92.20	90	96.87	90			
MOSFLM	P1 .	61.88	88.82	92.18	89.99	96.88	90.00	0.02	0.02	0.0
HPP_Mg_INC										
HKL-2000	$P2_1$	62.158	89.459	92.361	90	96.900	90	0.050	0.06	0.4
HKL-2000	P1	62.145	89.427	92.302	89.989	96.891	90.104	0.059	0.06	0.1
XDS	$P2_1$	62.090	89.519	92.161	90	96.752	90	0.016	0.02	0.0
XDS MOSEL M	P1	62.099	89.535	92.171	90.024	96.750	90.010	0.016	0.02	0.0
MOSFLM	P2 <sub>1</sub> P1	62.02	89.16 89.21	92.13	90 90.02	96.90 96.91	90 90.05	0.05	0.06	0.0
<i>MOSFLM</i> HPP_Mg_soak		62.05		92.17	90.02	90.91	90.03	0.05	0.06	0.0
HKL-2000	$P2_1$	61.890	89.826	92.602	90	97.071	90			
HKL-2000	P1	61.881	89.813	92.590	89.982	97.069	89.997	0.013	0.01	0.0
XDS	$P2_1$	61.865	89.805	92.569	90	97.057	90	0.015	0.01	0.0
XDS	P1	61.841	89.769	92.532	90.033	97.056	90.003	0.037	0.04	0.0
MOSFLM	$P2_1$	61.91	89.90	92.64	90	97.07	90			
MOSFLM	P1 .	61.94	89.94	92.66	90.03	97.07	89.97	0.04	0.04	0.0
HPP_Mg_para	tone, 1.50	Å, 360°,	MAR300							
HKL-2000	$P42_{1}2$	86.947	86.947	61.836	90	90	90			
HKL-2000	P1	86.903	86.872	61.790	90.023	90.120	90.095	0.075	0.09	0.0
XDS	$P42_{1}2$	86.993	86.993	61.862	90	90	90			
XDS	P1	86.821	86.868	61.765	90.123	90.018	90.085	0.172	0.20	0.1
MOSFLM	$P42_{1}2$	87.00	87.00	61.82	90	90	90			
MOSFLM	P1	86.91	86.92	61.78	89.87	89.94	90.02	0.09	0.10	0.1
PROTK, 1.30			. <u>.</u>	400						
HKL-2000	$P4_32_12$	67.547	67.547	106.883	90	90	90	0.00=	0.61	c -
HKL-2000	P1	67.541	67.554	106.884	90.006	89.998	89.993	0.007	0.01	0.0
XDS	$P4_32_12$	67.550	67.550	106.886	90	90	90	0.007	0.01	0.0
XDS	P1	67.543	67.557	106.886	90.003	90.006	90.005	0.007	0.01	0.0
MOSFLM MOSFLM	$P4_32_12$	67.54	67.54	106.87	90	90	90	0.01	0.02	0.0
WILLSEL M	P1	67.55	67.53	106.88	90.01	90.00	90.01	0.01	0.02	0.0

refinement of all integrated data in the scaling and merging step. *MOSFLM* initially integrates reflection intensities from a few selected images with crystal spindle orientations differing by 45° and/or 90°, refines the unit-cell parameters by a post-refinement procedure and keeps these final values fixed during the subsequent integration of reflections on all images.

To compare the behavior of unit-cell parameters estimated in small batches by *HKL*-2000 and *XDS*, the 660 images obtained from a crystal of proteinase K were divided into groups of ten and each batch was separately submitted to *MOSFLM* for evaluation of its unit-cell parameters. The results of unit-cell estimation for individual batches by the three programs for proteinase K are illustrated in Fig. 2.

#### 3. Results and discussion

During data processing, the values of the crystal unit-cell parameters are highly correlated with some other parameters, such as, for example, the crystal-to-detector distance. Their variation may also result from imperfect crystal centering on the spindle axis or nonperpendicularity of the spindle and beam directions. According to the variational principle, the use of more refinable parameters leads to better agreement between the observed and calculated reflection spot positions, resulting in their more accurate intensity evaluation, which is of primary importance at the stage of integration of intensities. Therefore, it may be beneficial to always integrate data in P1 symmetry, and to impose the proper crystal symmetry at the later stages of scaling and merging. However, the unitcell parameters should, of course, eventually be estimated in the proper Laue symmetry as accurately as possible.

# 3.1. Variation of unit-cell parameters during data collection

To illustrate the problem of variation of unit-cell parameters during data collection, if they are estimated from small batches of consecutive diffraction

Table 2 (continued)

Program	Space group	a (Å)	b (Å)	c (Å)	α (°)	β (°)	γ (°)	δ(a) (Å)	δ(a)/a (%)	δ(α) (°)
LSS, 1.40 Å, 2	00°, MAR	.225								
HKL-2000	$P2_1$	37.436	107.366	56.602	90	102.147	90			
HKL-2000	P1	37.437	107.368	56.604	90.003	102.147	89.990	0.002	0.01	0.010
XDS	$P2_1$	37.433	107.341	56.602	90	102.155	90			
XDS	P1	37.435	107.346	56.604	90.006	102.155	90.008	0.005	0.01	0.008
MOSFLM	$P2_1$	37.42	107.31	56.61	90	102.15	90			
MOSFLM	P1	37.41	107.29	56.59	89.97	102.14	89.97	0.02	0.04	0.03
RFP, 1.46 Å, 2	00°, MAR	225								
HKL-2000	$P2_12_12_1$	52.336	53.156	106.466	90	90	90			
HKL-2000	P1	52.337	53.155	106.466	90.006	89.997	89.985	0.001	0.01	0.015
XDS	$P2_12_12_1$	52.485	53.200	106.533	90	90	90			
XDS	P1	52.480	53.125	106.498	90.038	90.030	90.155	0.075	0.01	0.155
MOSFLM	$P2_12_12_1$	52.35	53.20	106.48	90	90	90			
MOSFLM	P1	52.36	53.20	106.47	89.99	90.02	89.98	0.01	0.02	0.02

images, the set of images measured from a crystal of proteinase K was integrated with three popular data-processing programs: *HKL*-2000 (Otwinowski & Minor, 1997), *XDS* (Kabsch, 2010) and *MOSFLM* (Leslie, 2006). These very highly accurate diffraction data led to the successful solution of the structure of proteinase K from the anomalous signal of

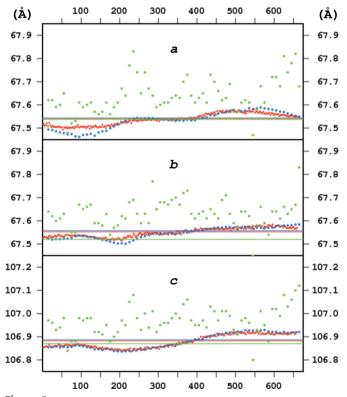


Figure 2 The unit-cell lengths a, b, c obtained from diffraction images from a  $P4_32_12$  crystal of proteinase K (Wang  $et\ al.$ , 2006) processed in P1 symmetry by three data-processing programs. The set consisted of 660 images of  $0.5^\circ$  recorded on a MAR300 CCD detector at the SER-CAT beamline of the APS synchrotron with a wavelength of  $0.98\ \text{Å}$ , a crystal-to-detector distance of 150 mm and data extending to  $1.3\ \text{Å}$  resolution. The colored dots correspond to parameter values obtained by processing separate batches of three consecutive images by HKL-2000 (red), ten images by XDS (blue) and ten images by MOSFLM (green). The horizontal lines correspond to the values obtained from processing the whole set of images.

sulfur using the short X-ray wavelength of 0.98 Å (Wang et al., 2006). The set consisted of 660 images of 0.5° rotation width and the data resolution extended to 1.3 Å. The integration was performed in P1 without imposing the constraints of the proper space group, which is  $P4_32_12$ . In *DENZO* (the integrating program within the HKL-2000 system) the unit-cell parameters were refined together with a number of other parameters, utilizing three consecutive images, without invoking the postrefinement procedure. In XDS the corresponding parameters were refined and post-refined in batches consisting of

ten images. These procedures are standard and are routinely used in *DENZO* and *XDS*. However, processing of data with *MOSFLM* did not use the standard procedure, since we elected to integrate and post-refine batches consisting of ten images each and then evaluated the resulting unit-cell parameters. In this program the unit-cell parameters are normally evaluated from several images recorded at a spindle-axis interval of 90°, post-refined and subsequently kept constant during integration of the whole set. We need to stress that the procedure used by us here in *MOSFLM* was nonstandard and was only applied for testing purposes.

Inspection of Fig. 2 shows that the unit-cell parameters estimated by the three programs varied during the integration of the whole set of 660 images. In DENZO the maximum variation of the unit-cell lengths and angles was 0.097 Å (0.13%) and  $0.05^{\circ}$  and in XDS they were 0.127 Å (0.19%)and 0.84°, whereas in MOSFLM the variations were 0.38 Å (0.56%) and 0.19°, respectively. The variations of the unit-cell parameters were accompanied by differences in the crystal-todetector distance of 0.15 mm (0.10%) in DENZO, of 0.17 mm (0.11%) in XDS and of 0.60 mm (0.40%) in MOSFLM. By default, in HKL-2000 and XDS the unit-cell parameters are output with a precision of three decimal digits, whereas in MOSFLM only two digits are retained, although, if the estimations of the systematic errors are provided on input, the programs give realistic uncertainties of the unit-cell parameters.

The overall, final values of the unit-cell parameters resulting from processing all of the proteinase K data in *P*1 symmetry, this time utilizing the standard *MOSFLM* procedure, are as follows: *HKL*-2000, a = 67.541, b = 67.554, c = 106.884 Å,  $\alpha = 90.006$ ,  $\beta = 89.998$ ,  $\gamma = 89.993^{\circ}$ ; *XDS*, a = 67.543, b = 67.557, c = 106.886 Å,  $\alpha = 90.003$ ,  $\beta = 90.006$ ,  $\gamma = 90.005^{\circ}$ ; *MOSFLM*, a = 67.55, b = 67.53, c = 106.88 Å,  $\alpha = 90.01$ ,  $\beta = 90.00$ ,  $\gamma = 90.01^{\circ}$ .

The comparison of the results obtained for a high-quality crystal of proteinase K which diffracted very well to almost atomic resolution and based on highly redundant data (Table 2) shows that the accuracy of the final unit-cell parameters does not exceed 0.02~Å in lengths and  $0.01^\circ$  in angles. This is a fairly ideal case and, if the data multiplicity is lower, as in the case of processing sets consisting of a more limited

number of images, the accuracy of the estimated unit-cell parameters may be substantially lower.

## 3.2. Higher symmetry structures presented in the PDB in space group *P*1

Table 1 contains information about a selected group of 32 structures presented in the PDB in space group P1 for which the real, higher symmetry was originally overlooked, but was eventually validated by us by merging deposited data in the appropriate symmetry, allowing successful refinement of the atomic models. The structures were not refined exhaustively here (no manual rebuilding was attempted), but the obtained statistics R and  $R_{\rm free}$ , as well as visual inspection of the electron-density maps, unambiguously confirmed the correctness of the reassigned space groups. None of these structures suggested the presence of pseudomerohedral twinning, which would support the treatment of these structures in lower than apparent symmetry.

If two of the unit-cell lengths and the two related unit-cell angles are approximately equal, the metric of the cell corresponds to the monoclinic *C*-centered lattice, and indeed 16 of the 32 cases summarized in Table 1 possess *C*2 symmetry. If the unit-cell parameters fulfill certain other relations the lattice may correspond to higher symmetry metrics, for example tetragonal *I*-centered or rhombohedral, as shown in some other examples in Table 1.

Fig. 3 illustrates, for the 32 investigated structures presented in the PDB in space group P1, the maximum differences of the unit-cell lengths between these pairs of values, which have to be equal in the true lattice metrics of these crystals. The relevant numerical values are presented in Table 1. These deviations range from a negligible amount to about 0.35%, corresponding to 0.35 Å for a crystal with a 100 Å unit-cell length. The maximum deviation of the unit-cell angles from the expected constrained value is 0.38°. The median value of discrepancies of the unit-cell lengths is 0.07% and that in the unit-cell angles is 0.09°. There is no apparent correlation

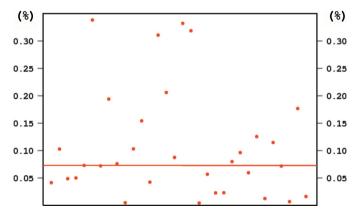


Figure 3 The maximum relative differences (normalized by the unit-cell length, as a percentage) between pairs of unit-cell lengths presented in the PDB in P1 symmetry, which in the true, higher symmetry, space group have to be equal. The red dots correspond to the 32 structures from the PDB in the same order of presentation as in Table 1. The horizontal line at 0.07% represents the median value.

between the discrepancies in the unit-cell parameters and the quality indicators for data processing or for the resulting structural model, such as resolution,  $R_{\text{merge}}$  or R factor.

### 3.3. Representation of higher symmetry structures in space group *P*1

Several sets of images from in-house projects were processed with three programs, *HKL*-2000, *XDS* and *MOSFLM*, in the proper symmetry and in space group *P*1, and the results are presented in Table 2. In each case the standard protocol for data processing was used, relying mostly on the default values of certain parameters and procedures.

The maximum deviations between the unit-cell parameters obtained in P1 and in the higher symmetry for the same structures are 0.08 Å (0.09%) for unit-cell lengths and 0.16° for unit-cell angles processed with HKL-2000, 0.17 Å (0.20%) and 0.15° for data processed with XDS and 0.18 Å (0.11%) and 0.17° for data processed with MOSFLM.

The unit-cell parameters resulting from processing diffraction data by the three programs in the proper symmetry, which was higher than P1, also differ somewhat. This is shown in Fig. 4, which illustrates the relative deviations of each of the three estimations of each unit-cell length from the average value (normalized by the unit-cell length) for the 11 structures listed in Table 2. The differences between the estimations of

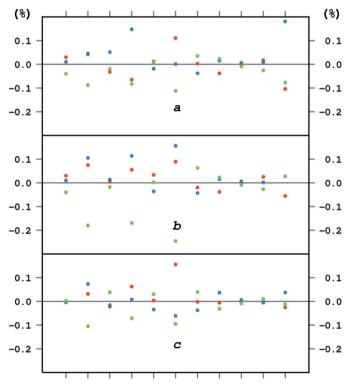


Figure 4 The relative differences between unit-cell lengths (a, b, c) obtained from processing 11 in-house data sets in the correct symmetry by three data-processing programs: HKL-2000 (red), XDS (blue) and MOSFLM (green). The horizontal line corresponds to the average value of the three estimations of each unit-cell length and the position of each dot represents the relative (normalized by the unit-cell length, as a percentage) difference from the average value. The sequence of the structures is the same as in Table 2.

the three programs are higher than 0.2% in a few cases, which is equivalent to 0.2 Å for a cell length of 100 Å.

The observed variations in unit-cell parameters, estimated from the same diffraction data by different programs and in different circumstances, obviously result from some differences in the algorithms used by the programs and from details of the protocols used by them. It has already been mentioned above that *DENZO* and *XDS* integrate reflections in batches of a few images after refining all parameters, including unit-cell parameters, whereas *MOSFLM* estimates unit-cell parameters from several images spread widely over the whole set and keeps them constant during the integration of all images, while refining other parameters in small batches. There are many more subtle differences in the detailed procedures of parameter refinement, building of standard reflection profiles, post-refinement algorithm *etc*.

# 3.4. Effect of radiation damage and of the utilization of multi-crystal data sets

Radiation damage had already been identified as a problem in the early days of protein crystallography. The effects resulting from the exposure of crystals of macromolecules to X-rays during diffraction data collection at ambient temperatures rapidly cause a degree of non-isomorphism, manifested by certain specific chemical and structural changes of the biological material and changes of various crystal properties, culminating in the loss of diffraction power. The introduction of cryo-techniqes alleviated this problem to some extent, but the very strong contemporary synchrotron X-ray

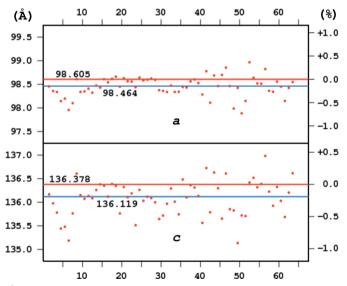


Figure 5 The a and c unit-cell lengths estimated for 63 individual crystals of an integral membrane protein with data collected in serial mode (Axford et al., 2015; PDB entry 4ycr). The unit-cell lengths are marked in Å on the left side of the graph and as the percentage difference from the finally accepted overall value published by the authors (shown as a red horizontal line) on the right side. The blue line, which is significantly different from the red line, represents the median value of the parameters; the difference between these two estimates of the unit-cell length, which we cannot explain, vastly exceeds the three-digit precision of their presentation.

sources introduce significant amounts of radiation damage even at cryogenic temperatures (Garman, 2003). Among various other effects, radiation damage causes changes in unit-cell parameters, usually resulting in an increase of the unit-cell volume, with an increase of up to 2% reported for some protein crystals (Ravelli & McSweeney, 2000). However, change of unit-cell parameters is not in itself a reliable metric of radiation damage, since it differs significantly between various types of crystals (Murray & Garman, 2002).

Radiation damage is therefore an additional cause of changes observed in the unit-cell parameters of crystals during diffraction data collection, even at moderate levels of X-ray exposure. This effect may have different magnitudes for different crystals and experimental conditions, but it contributes to the problem of the uncertainty in the evaluation of the 'final' unit-cell parameters resulting from data collection.

To prevent severe radiation damage, in the past diffraction data have frequently been measured and merged from more than a single crystal. Recently, the idea of merging data recorded in small wedges from many crystals has been revived in the form of 'serial crystallography', especially at the most intense synchrotron beamlines (Gati et al., 2014) and when radiation damage has to be minimized to increase the accuracy of the weak anomalous phasing signal (Liu et al., 2013). Obviously, such an approach is necessary at X-ray free-electron laser facilities, where one very small crystal can deliver only a single diffraction image before its total destruction (Chapman et al., 2011).

In serial data collection, with diffraction data merged from many crystals, the evaluation of crystal unit-cell parameters constitutes a problem, since each crystal may have slightly different unit-cell parameters. This is illustrated in Fig. 5, where the unit-cell parameters a and c of an integral membrane protein crystallized with R3 symmetry are shown for 63 separate small batches of images recorded from 57 individual crystals (see the Supporting Information in Axford  $et\ al.$ , 2015). These unit-cell parameters vary by more than 1% from one crystal to another. Obviously the estimation of the overall, averaged values cannot be performed with high accuracy, and the values presented in the PDB deposition of this structure (PDB entry 4ycr) with three decimal digits clearly have an excessive precision.

### 4. Conclusions

The main aim of this paper was to draw the attention of the community to the problem of an unbiased evaluation of the expected level of accuracy and reproducibility of the unit-cell parameters for macromolecular crystals. The limitation of the accuracy of the unit-cell parameters obtained in routine macromolecular data-collection experiments may be caused by several factors, which are dependent on both the facility and the crystals. The uncertainties in the accurate values of the X-ray wavelength, crystal-to-detector distance or imperfections of detector calibration are difficult to estimate. On the other hand, macromolecular crystals are sometimes not perfectly isomorphous within their bulk volume and undergo

radiation damage. Additional problems arise if data are merged from several specimens that may differ somewhat in their lattice properties.

The discrepancies in unit-cell parameter values obtained by different programs and in different circumstances from identical diffraction images suggest that the accuracy of the unit-cell parameters estimated during routine macromolecular diffraction data processing is rarely better than  $\pm 0.1\%$  for unit-cell lengths (approximately 0.05–0.2 Å for a typical protein crystal) and  $\pm 0.1^\circ$  for unit-cell angles, although it is not easily possible to estimate the reliable values of their uncertainties in particular cases. These difficulties are indirectly acknowledged by the macromolecular crystallography community, as there is no requirement for the published values of unit-cell parameters to be accompanied by their standard uncertainties. This is in contrast to small-structure crystallography, where all quoted unit-cell parameters must be accompanied by their estimated standard deviations.

Taking into account the limitations of the practically achievable accuracy of unit-cell parameters, it is clear that unit-cell lengths and angles should be presented with the precision limited to two decimal digits at most. The excessive precision of these parameters usually quoted in publications and in the PDB is a result of an arbitrary choice of the numerical output format of numbers obtained from various mathematical procedures.

However, it may be acknowledged that inaccuracies of 0.2 Å in unit-cell lengths of 100 Å would not significantly distort the refined atomic models of macromolecules. Such a difference of 0.2% corresponds to the change of a 1.5 Å long bond by only 0.003 Å, a value comparable to the accuracy of the stereochemical restraint targets and smaller than the accuracy of atomic positions achievable in macromolecular crystallography, especially at resolutions lower than fully atomic.

Our analysis of the accuracy of unit-cell parameters led us to the investigation of the numerous structures that have been deposited in the PDB after being analyzed in space group P1, whereas their true symmetry was higher. In principle, any crystal structure can be expressed in P1 symmetry, correctly representing the positions of all atoms and the spatial interactions between them. However, the refinement of a multiplied number of parameters with the same number of observables (reflection intensities and, possibly, restraints) necessarily leads to less accurate results. Processing data in lower than correct symmetry also produces a larger number of reflections, but they are not independent even if their intensities differ somewhat owing to inaccuracies in their measurement. Merging of these genuine symmetry-related reflections will lead to more accurately estimated intensities, eventually producing a more accurate structure in the correct space group. Working in too low symmetry may also lead to computational problems with numerical singularities etc. It is also clear that it is easier to solve and refine a structure with one symmetrically independent molecule in, say, space group R32 than a structure consisting of six (or 18, depending on the choice of a rhombohedral versus hexagonal setting) molecules expressed in P1 symmetry.

It is appropriate to quote the opinion of Richard Marsh, the highly regarded expert on issues related to crystal symmetry (Marsh & Bernal, 1995):

...it would be well to emphasize why it matters that the symmetry be correct, noting that noncrystallographers 'are prone to thinking papers like this one are hopelessly pedantic'. [...] Accepting incorrect results in order to avoid the label 'pedantic' is contrary to accepted standards of scientific behavior. [...] We can think of no valid excuse for considering the choice of space group as unimportant, or for condoning an incorrect choice.

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